

1AP7 Rec'd PCT/PTO 18 JUL 2006

FORM PTO-1390  
REV. 5-93

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

**P06,0262**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**10/586424**

INTERNATIONAL APPLICATION NO.  
**PCT/EP2004/012937**

INTERNATIONAL FILING DATE  
**15 November 2004**

PRIORITY DATE CLAIMED  
**23 January 2004**

TITLE OF INVENTION:

**CONTINUOUS INTERMEDIATE IMAGE CARRIER FOR AN ELECTROPHOTOGRAPHIC PRINTER OR COPIER**

APPLICANT(S) FOR DO/EO/US

**Karl ZAPPE and Uwe MILLING**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **Unexecuted**
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ A substitute specification. **Marked up version of Substitute Specification**
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. ☒ **EXPRESS MAIL #EV 635786005 US Mailed July 18, 2006.**
  - b. ☒ **Sub. Pages; - Ten Drawing Sheets - Replacement Sheets for Figs. 9 and 10.**
  - c. ☐

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <b>10/586424</b>		INTERNATIONAL APPLICATION NO. PCT/EP2004/012937		ATTORNEY'S DOCKET NUMBER P06,0262	
--	--	--	--	--------------------------------------	--

  

17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> (Search Report has been prepared by the EPO or JPO) ..... \$900.00 (Includes National Stage, Search, and Examination Fees)  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$900.00</b></div>				CALCULATIONS		PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).							
Claims	Number Filed	Number Extra	Rate				
Total Claims	17-20 =	0	X \$50.00	\$00			
Independent Claims	3-3 =	0	X \$200.00	\$00			
Multiple Dependent Claims			\$360.00 +				
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$900.00</b>			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)							
<b>SUBTOTAL =</b>				<b>\$900.00</b>			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			+	\$00			
<b>TOTAL NATIONAL FEE =</b>				<b>\$900.00</b>			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property			+				
<b>TOTAL FEES ENCLOSED =</b>				<b>\$900.00</b>			
				Amount to be refunded			
				charged			

  

a. ☒ A check in the amount of **\$900.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **501519**. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

**Schiff Hardin LLP**  
**Patent Department**  
**6600 Sears Tower**  
**Chicago, Illinois 60606-6473**  
**Customer Number 26574**

**SIGNATURE**

*Brett A. Valiquet*

**Brett A. Valiquet**

**NAME**

**27,841**

**Registration Number**

10/586424

**CERTIFICATION OF MAILING** **AP20 Rec'd PCT/PTO 18 JUL 2006**

"Express Mail" Mailing Label Number **EV 635786005 US**

Date of Deposit: July 18, 2006

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, **MAIL STOP PCT**, P.O. Box 1450, Alexandria VA 22313-1450.

**Karl ZAPPE and Uwe MILLING**

PCT Application entitled: **CONTINUOUS INTERMEDIATE IMAGE CARRIER FOR AN ELECTROPHOTOGRAPHIC PRINTER OR COPIER**

Our Case No. P06,0262 (26970-0420)

Transmittal Form 1390 in Duplicate  
German Application  
English Translation  
Substitute Pages – Translation of Substitute Pages  
Ten Sheets of Drawings Figs 1-10  
Information Disclosure Statement – 7 References – Form 1449 – International  
Search Report  
Preliminary Amendment  
Substitute Specification  
Marked Copy of Substitute Specification  
Unexecuted Declaration  
\$900.00  
Postcard

Signature of person mailing application and fee

CH11 4063437.1

